CHAPTER 300 LICENSURE OF SPEECH PATHOLOGISTS AND AUDIOLOGISTS

645—300.1(147) Definitions. For purposes of these rules, the following definitions shall apply:

"ASHA" means the American Speech-Language Hearing Association.

"Assistant" means a person who works under the supervision of an Iowa-licensed speech pathologist or audiologist, does not meet the requirements to be licensed as a speech pathologist or audiologist, and meets the minimum requirements set forth in these rules.

"Audiologist" means a person who engages in the application of principles, methods and procedures for measurement, testing, evaluation, prediction, consultation, counseling, instruction, habilitation, rehabilitation, or remediation related to disorders of hearing and associated communication disorders for the purpose of nonmedically evaluating, identifying, preventing, ameliorating, modifying, or remediating such disorders and conditions in individuals or groups of individuals, including the determination and use of appropriate amplification.

"Board" means the board of speech pathology and audiology examiners.

"Full-time" means a minimum of 30 hours per week.

"Lapsed license" means a license that a person has failed to renew as required or the license of a person who failed to meet stated obligations for renewal within a stated time.

"Licensee" means any person licensed to practice as a speech pathologist or audiologist in the state of Iowa.

"License expiration date" means December 31 of odd-numbered years.

"Licensure by endorsement" means the issuance of an Iowa license to practice speech pathology or audiology to an applicant who is currently licensed in another state.

"Reciprocal license" means the issuance of an Iowa license to practice speech pathology or audiology to an applicant who is currently licensed in another state which has a mutual agreement with the Iowa board of speech pathology and audiology examiners to license persons that have the same or similar qualifications to those required in Iowa.

"Speech pathologist" means a person who engages in the application of principles, methods, and procedures for the measurement, testing, evaluation, prediction, consultation, counseling, instruction, habilitation, rehabilitation, or remediation related to the development and disorders of speech, fluency, voice, or language for the purpose of nonmedically evaluating, preventing, ameliorating, modifying, or remediating such disorders and conditions in individuals or groups of individuals.

645—300.2(147) Speech pathology and audiology services subject to regulation. The provision of speech pathology or audiology services in Iowa through telephonic, electronic, or other means, regardless of the location of the speech/language pathologist or audiologist, shall constitute the practice of speech pathology or audiology and shall require Iowa licensure.

645—300.3(147) Requirements for licensure. The following criteria shall apply to licensure:

300.3(1) The applicant shall complete a board-approved application packet. Application forms may be obtained from the board's Web site (http://www.idph.state.ia.us/licensure) or directly from the board office. All applications shall be sent to Board of Speech Pathology and Audiology Examiners, Professional Licensure Division, Fifth Floor, Lucas State Office Building, Des Moines, Iowa 50319-0075.

300.3(2) The applicant shall complete the application form according to the instructions contained in the application. If the application is not completed according to the instructions, the application will not be reviewed by the board.

300.3(3) Each application shall be accompanied by the appropriate fees payable by check or money order to the Board of Speech Pathology and Audiology Examiners. The fees are nonrefundable.

300.3(4) The application shall include:

- a. Official copies of the American Speech-Language Hearing Association (ASHA) certificate of clinical competence; or
 - b. Submission of the following:
- (1) Official copies of academic transcripts sent directly from the school to the board showing proof of possession of a master's degree or its equivalent and official verification of completion of not less than 300 hours of supervised clinical training;
- (2) Verification of nine months of full-time clinical experience, or equivalent, completed after the master's degree, under the supervision of a licensed speech pathologist or audiologist; and
 - (3) Results of the National Teacher Examination.
- **300.3(5)** Licensees who were issued their licenses within six months prior to the renewal shall not be required to renew their licenses until the renewal date two years later.
- **300.3(6)** Incomplete applications that have been on file in the board office for more than two years shall be considered invalid and shall be destroyed.

645—300.4(147) Educational qualifications.

300.4(1) The applicant shall possess the following:

- a. A master's degree or its equivalent from an accredited school, college or university with a major in speech pathology; or
- b. A master's degree or its equivalent from an accredited school, college or university with a major in audiology.

300.4(2) Foreign-trained speech pathologists and audiologists shall:

- a. Provide an equivalency evaluation of their educational credentials by one of the following: International Educational Research Foundations, Inc., Credentials Evaluation Service, P.O. Box 3665, Culver City, CA 90231-3665, telephone (310)258-9451, Web site www.ierf.org or E-mail at info@ierf.org; International Credentialing Associates, Inc., 7245 Bryan Dairy Road, Bryan Dairy Business Park II, Largo, FL 33777, telephone (727)549-8555. The professional curriculum must be equivalent to that stated in these rules. A candidate shall bear the expense of the curriculum evaluation.
- b. Provide a notarized copy of the certificate or diploma awarded to the applicant from a speech pathology or audiology program in the country in which the applicant was educated.
 - c. Receive a final determination from the board regarding the application for licensure.
- **645**—**300.5(147)** Examination requirements. The examination required by the board shall be the National Teacher Examination in speech pathology or audiology. This examination is administered by the Educational Testing Service. The applicant should contact the nearest accredited college or university for the time and place of the examination.
- **300.5(1)** The applicant has full responsibility for making arrangements to take the National Teacher Examination in speech pathology or audiology and for bearing all expenses associated with taking the examination. The applicant also has the responsibility for having the examination scores sent directly to the board from the Educational Testing Service.
- **300.5(2)** The board shall determine the qualifying scores for both the speech pathology and audiology examinations.

645—300.6(147) Temporary clinical license. A temporary clinical license for the purpose of obtaining clinical experience as a prerequisite for licensure is valid for one year and may be renewed one time.

300.6(1) An applicant must submit the following to the board:

- a. Evidence of supervision by a speech pathologist or audiologist with an active, current Iowa license in good standing;
- b. An official application form provided by the board of speech pathology and audiology examiners:
- c. Official copies of transcripts sent directly from the school to the board showing proof of possession of a master's degree or its equivalent;
- d. Official verification of completion of not less than 300 hours of supervised clinical training in an accredited college or university; and
 - e. The temporary clinical license fee.

300.6(2) The plan for clinical experience shall:

- a. Include at least nine months of full-time clinical experience, or equivalent;
- b. Include supervision by an Iowa-licensed speech pathologist or audiologist, as appropriate;
- c. Be kept by the supervisor for two years from the last date of the clinical experience; and
- d. Include a completed supervised clinical experience report form that shall be submitted to the board of speech pathology and audiology examiners upon the applicant's successful completion of the nine months of full-time clinical experience. The applicant may then apply for licensure.

645—300.7(147) Temporary permit.

300.7(1) A nonresident may apply to the board for a temporary permit to practice speech pathology or audiology:

- a. For a period not to exceed three months;
- b. By submitting a letter to support the need for such a permit;
- c. By submitting documents to show that the applicant has substantially the same qualifications as required for licensure in Iowa;
 - d. By submitting the documentation prior to the date the applicant intends to begin practice; and
 - e. By submitting the temporary permit fee.

300.7(2) The applicant shall receive a final determination from the board regarding the application for a temporary permit.

645—300.8(147) Use of assistants. A licensee shall, in the delivery of professional services, utilize assistants only to the extent provided in these rules.

300.8(1) Duties.

- a. Speech pathology assistant I. A speech pathology assistant I works with an individual for whom significant improvement is expected within a reasonable amount of time.
- b. Speech pathology assistant II. A speech pathology assistant II works with an individual for whom maintenance of present level of communication is the goal; or for whom, based on the history and diagnosis, only slow improvement is expected.
- c. Audiology assistant I. An audiology assistant I is more broadly trained and may be given a variety of duties depending upon the individual's training.
- d. Audiology assistant II. An audiology assistant II is trained specifically for a single task for screening.

300.8(2) *Minimum requirements.*

- A speech pathology assistant I or II or audiology assistant I must satisfy the following minimum requirements:
 - (1) Reach the age of majority;
 - (2) Complete a high school education, or its equivalent; and
 - (3) Complete one of the following:
- A three-semester-hour (or four-quarter-hour) course in introductory speech and language pathology for speech pathology assistants or in audiology for audiology assistants from an accredited educational institution and 15 hours of instruction in the specific tasks which the assistant will be performing; or
- 2. A minimum training period comprised of 75 clock hours on instruction and practicum experience.
 - An audiology assistant II must satisfy the following requirements:
 - (1) Reach the age of majority.
 - (2) Complete a high school education, or its equivalent.
- (3) Complete a minimum of 15 clock hours of instruction and practicum experience in the specific task which the assistant will be performing.
- 300.8(3) Utilization. Utilization of a speech pathology or audiology assistant requires that a plan be developed by the licensee desiring to utilize that assistant, consisting of the following information:
 - Documentation that the assistant meets minimum requirements;
- A written plan of the activities and supervision that must be kept by the licensee supervising the assistant. This supervision must include direct on-site observation for a minimum of 20 percent of the assistant's direct patient care for level I speech pathology and level I audiology assistants and 10 percent for level II speech pathology assistants. Level II audiology assistants must be supervised 10 percent of the time. At least half of that time must be direct on-site observation with the other portion provided as time interpreting results;
 - A listing of the facilities where the assistant will be utilized; and
- A statement, signed by the licensee and the assistant, that the rules pertaining to assistants have been read by both.
- 300.8(4) Maximum number of assistants. A licensee may not utilize more than three assistants unless a plan of supervision is filed and approved by the board.
- **300.8**(5) Supervisor responsibilities. A licensee who utilizes an assistant shall have the following responsibilities:
- To be legally responsible for the actions of the assistant in that assistant's performance of assigned duties with a client;
 - To make all professional decisions relating to the management of a client;
- To ensure that the assistant is assigned only those duties and responsibilities for which the assistant has been specifically trained and is qualified to perform;
- To ensure compliance of the assistant(s) under supervision with the provisions of these rules by providing periodic direct observation and supervision of the activities of the assistant; and
- To submit to the board of speech pathology and audiology upon request a copy of the plan of activities and supervision for each assistant and documentation of the dates each assistant was utilized by the licensee.

645—300.9(147) Licensure by endorsement. An applicant who has been a licensed speech pathologist or audiologist under the laws of another jurisdiction shall file an application for licensure by endorsement with the board office. The board may receive by endorsement any applicant from the District of Columbia or another state, territory, province or foreign country who:

- 1. Submits to the board a completed application;
- 2. Pays the licensure fee;
- 3. Shows evidence of an ASHA certificate or shows evidence that the National Teacher Examination scores have been sent directly from the examination service to the board;
 - 4. Provides official copies of the academic transcripts; and
- 5. Provides evidence that verification of licenses from all other states have been sent directly from those states to the board office.

645—300.10(147) Licensure by reciprocal agreement. The board may enter into a reciprocal agreement with the District of Columbia or any state, territory, province or foreign country with equal or similar requirements for licensure of speech pathologists and audiologists.

645—300.11(147) License renewal.

300.11(1) The biennial license renewal period for a license to practice speech pathology or audiology shall begin on January 1 of an even-numbered year and end on December 31 of the next even-numbered year. The board shall notify the licensee at the address on record at least 60 days prior to expiration of the license.

300.11(2) An individual who was issued an initial license within six months of the license renewal date will not be required to renew the license until the subsequent renewal date two years later.

300.11(3) A licensee shall:

- a. Meet the continuing education requirements of rule 645—303.2(147) and the mandatory reporting requirements of subrule 300.11(4); and
- b. Submit the completed renewal application, continuing education report form and renewal fee before the license expiration date.

300.11(4) Mandatory reporter training requirements.

- a. A licensee who, in the scope of professional practice or in the licensee's employment responsibilities, examines, attends, counsels or treats children in Iowa shall indicate on the renewal application completion of two hours of training in child abuse identification and reporting in the previous five years or condition(s) for waiver of this requirements as identified in paragraph "e."
- b. A licensee who, in the course of employment, examines, attends, counsels or treats adults in Iowa shall indicate on the renewal application completion of two hours of training in dependent adult abuse identification and reporting in the previous five years or condition(s) for waiver of this requirement as identified in paragraph "e."
- c. A licensee who, in the scope of professional practice or in the course of employment, examines, attends, counsels or treats both adults and children in Iowa shall indicate on the renewal application completion of training in abuse identification and reporting for dependent adults and children in the previous five years or condition(s) for waiver of this requirement as identified in paragraph "e."

Training may be completed through separate courses as identified in paragraphs "a" and "b" or in one combined two-hour course that includes curricula for identifying and reporting child abuse and dependent adult abuse. The course shall be a curriculum approved by the Iowa department of public health abuse education review panel.

d. The licensee shall maintain written documentation for five years after mandatory training as identified in paragraphs "a" to "c," including program date(s), content, duration, and proof of participation.

- *e*. The requirement for mandatory training for identifying and reporting child and dependent adult abuse shall be suspended if the board determines that suspension is in the public interest or that a person at the time of license renewal:
 - (1) Is engaged in active duty in the military service of this state or the United States.
- (2) Holds a current waiver by the board based on evidence of significant hardship in complying with training requirements, including an exemption of continuing education requirements or extension of time in which to fulfill requirements due to a physical or mental disability or illness as identified in 645—Chapter 303.
- f. The board may select licensees for audit of compliance with the requirements in paragraphs "a" to "e."
- **300.11(5)** When all requirements for license renewal are met, the licensee shall be sent a wallet card by regular mail.
- **300.11(6)** A person licensed to practice as a speech pathologist or audiologist shall keep the person's license certificate and wallet card displayed in a conspicuous public place at the primary site of practice.
- **300.11(7)** Late renewal. The license shall become late when the license has not been renewed by the expiration date on the wallet card. The licensee shall be assessed a late fee as specified in 645—subrule 305.1(3). To renew a late license, the licensee shall complete the renewal requirements and submit the late fee within one month following the expiration date on the wallet card.

645—300.12(272C) Exemptions for inactive practitioners.

- **300.12(1)** A licensee who is not engaged in practice in the state of Iowa may be granted a waiver of compliance and obtain a certificate of exemption upon written application to the board. The application shall contain a statement that the applicant will not engage in practice in the state of Iowa without first complying with all regulations governing reinstatement after exemption. The application for a certificate of exemption shall be submitted upon the form provided by the board. A licensee must hold a current license to apply for exempt status. The licensee shall apply for inactive status prior to the license expiration date.
- **300.12(2)** Reinstatement of exempted, inactive practitioners. Inactive practitioners who have requested and been granted a waiver of compliance with the renewal requirements and who have obtained a certificate of exemption shall, prior to engaging in the practice of the profession in Iowa, satisfy the requirements for reinstatement as outlined in 645—303.10(147,272C).
- **300.12(3)** Licensees shall renew at the next scheduled renewal. Licensees whose licenses were reinstated within six months prior to the renewal shall not be required to renew their licenses until the renewal date two years later.
- **300.12(4)** A new licensee who is on inactive status during the initial license renewal time period and reinstates before the first license expiration date will not be required to complete continuing education for that first license renewal time period only. Thirty hours of continuing education will be required for every renewal thereafter.
- **300.12(5)** Verifications of license(s) are required from any state in which the licensee has practiced since the Iowa license became inactive.

300.12(6) Reinstatement of inactive license after exemption. The following chart illustrates the requirements for reinstatement based on the length of time a license has been considered inactive.

An applicant shall satisfy the following requirements:	1 renewal	2 renewals	3 renewals
Submit written application for reinstatement to			
the board	Required	Required	Required
Pay the current renewal fee	\$80	\$80	\$80
Pay the reinstatement fee	\$50	\$50	\$50
Furnish evidence of full-time practice in	Current valid	Current valid	Current valid
another state of the U.S. or District of	license and	license and	license and
Columbia and completion of continuing	30 hours	60 hours	90 hours
education			
OR			
Furnish evidence of completion of continuing education	30 hours	60 hours	90 hours
OR			
Furnish evidence of successful completion of the National Teacher Examination within one year immediately prior to submission of application for reinstatement	Successful completion of examination	Successful completion of examination	Successful completion of examination
Total fees and continuing education hours required for reinstatement:	\$130 and 30 hours	\$130 and 60 hours	\$130 and 90 hours

645—300.13(272C) Lapsed licenses.

300.13(1) If the renewal fee(s) and continuing education report are received more than 30 days after the license renewal expiration date, the license is lapsed. An application for reinstatement accompanied by the reinstatement fee, the renewal fee(s) for each biennium the license is lapsed and the late fee for failure to renew before expiration must be filed with the board. The licensee may be subject to an audit of the licensee's continuing education report.

300.13(2) Licensees who have not fulfilled the requirements for license renewal or for an exemption in the required time frame will have a lapsed license and shall not engage in the practice of speech pathology or audiology. Practicing without a license may be cause for disciplinary action.

300.13(3) In order to reinstate a lapsed license, licensees shall comply with all requirements for reinstatement as outlined in 645—303.6(147).

300.13(4) After the reinstatement of a lapsed license, the licensee shall renew at the next scheduled renewal cycle and complete the continuing education required for the biennium.

300.13(5) Verifications of license(s) are required from any state in which the licensee has practiced since the Iowa license lapsed.

300.13(6) Reinstatement of a lapsed license. The following chart illustrates the requirements for reinstatement based on the length of time a license has lapsed.

An applicant shall satisfy the following requirements:	30 days after expiration date up to 1 renewal	2 renewals	3 or more renewals
Submit written application for reinstatement	Required	Required	Required
Pay the renewal fee(s)	\$80	\$160	\$240
Pay the late fee	\$50	\$50	\$50
Pay the reinstatement fee	\$50	\$50	\$50
Furnish evidence of full-time practice in another state of the U.S. or District of Columbia and completion of continuing education OR Furnish evidence of completion of continuing education OR	Current valid license and 30 hours	Current valid license and 60 hours	Current valid license and 90 hours
Furnish evidence of successful completion of the National Teacher Examination within one year immediately prior to submission of application for reinstatement	Successful completion of examination	Successful completion of examination	Successful completion of examination
Total fees and continuing education hours required for reinstatement:	\$180 and 30 hours	\$260 and 60 hours	\$340 and 90 hours

645—300.14(147) Duplicate certificate or wallet card.

300.14(1) A duplicate card or duplicate certificate shall be required if the current wallet card or certificate is lost, stolen or destroyed. A duplicate wallet card or duplicate certificate shall only be issued for such circumstances.

300.14(2) A duplicate wallet card or certificate shall be issued upon receipt of a completed application from the licensee and receipt of the fee as specified in rule 645—305.1(147).

300.14(3) If the board receives a completed application which states that the wallet card or certificate was not received within 60 days after being mailed by the board, no fee shall be required for issuing the duplicate wallet card or certificate.

645—300.15(147) Reissued certificate or wallet card. The board shall reissue a certificate or current wallet card upon receipt of a written request from the licensee, return of the original document and payment of the fee as specified in rule 645—305.1(147).

645—300.16(17A,147,272C) License denial.

300.16(1) An applicant who has been denied licensure by the board may appeal the denial and request a hearing on the issues related to the licensure denial by serving a notice of appeal and request for hearing upon the board not more than 30 days following the date of mailing of the notification of licensure denial to the applicant. The request for hearing as outlined in these rules shall specifically describe the facts to be contested and determined at the hearing.

300.16(2) If an applicant who has been denied licensure by the board appeals the licensure denial and requests a hearing pursuant to this rule, the hearing and subsequent procedures shall be held pursuant to the process outlined in Iowa Code chapters 17A and 272C.

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CHAPTER 301 DISCIPLINARY PROCEDURES FOR SPEECH PATHOLOGISTS

AND AUDIOLOGISTS
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CHAPTER 302

SPEECH PATHOLOGY AND AUDIOLOGY ASSISTANTS

[Prior to 8/24/88, see Health Department[470] Ch 157] Rescinded IAB 9/19/01, effective 10/24/01